



DEFENSE CONTRACT AUDIT AGENCY

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OFFICE OF THE DIRECTOR

MEMORANDUM FOR COMPONENT ACQUISITION EXECUTIVES

SUBJECT: The New Defense Acquisition Workforce Improvement Act (DAWIA) Auditing Framework

- References:
- a. Office of the Under Secretary of Defense for Acquisition and Sustainment Memorandum, "Back-to-Basics for the Defense Acquisition Workforce," dated September 2, 2020
 - b. Office of the Under Secretary of Defense for Acquisition and Sustainment Memorandum, "Back-to-Basics Implementation for the Defense Acquisition Workforce," dated February 4, 2021
 - c. DODI 5000.66 Defense Acquisition Workforce Education, Training, Experience, and Career Development Program, effective July 27, 2017, updated March 25, 2022

On September 2, 2020, the Under Secretary of Defense for Acquisition and Sustainment (USD(A&S)) announced the phased implementation of the Back-to-Basics (BtB) 21st Century Defense Acquisition Workforce talent management framework, per reference (a). With the completion of the first phase of BtB framework updates in February 2021, per reference (b), and changes to DoDI 5000.66 in March 2022, per reference (c), this memorandum provides instruction on the changes to the certification program within the Auditing (AUD) Functional Area.

Certification categories are based on their complexity, authority, and impact on defense acquisition programs, and not solely on a position's grade or rank. The new DAWIA certification framework categories applicable for the AUD Functional Area will transition from three levels of certification to two levels: Practitioner and Advanced. These new certification levels are effective immediately for auditors hired on or after July 15, 2022. For all auditors hired prior to July 15, 2022, the AUD Functional Area will review DAWIA certification alignments to the new requirements and notify individuals of their status as the AUD workforce transitions.

AUD workforce members who were or are certified at Levels I, II, or III in the AUD career field on or before July 15, 2022 will retain their legacy certification and their coursework will be assessed for alignment to the requirements for the new certification levels. The Directors for Acquisition Career Management (DACM) and Defense Acquisition Talent Managers (DATM) have established a process for the DoD Components to ensure that positions coded as AUD workforce are appropriately and accurately aligned to the AUD Functional Area Position Category Description according to the guidelines below.

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- Auditors certified prior to July 15, 2022 at Level I need to meet Practitioner Level requirements (see Attachment 1). Individuals certified at Level 1 are not eligible to be grandfathered.
- Individuals certified at Level II will maintain their certification at the Practitioner Level.
- Individuals currently certified at Level III will maintain their certification at the Advanced Level.

To support this change process, AUD workforce members will be assigned a curriculum track based on the grade at which they are hired (see Attachments 2 and 3). The Defense Contract Audit Agency (DCAA) will track and maintain completion of certification requirements within the DCAA learning management system.

I appreciate your continued focus on our mission to develop and retain a high performing, diverse acquisition and sustainment workforce. My lead for executing AUD Functional Area Leader responsibilities is Mr. Michael Beaupre, Assistant Director, Human Capital and Resource Management at michael.e.beaupre.civ@mail.mil. My lead action officer for the AUD Functional Area is Dr. Pamela Clay, Chief Learning Officer, pamela.j.clay6.civ@mail.mil.



Terri L. Dilly
Director

Attachments:

1. Crosswalk from 3 Levels of Certification to 2 Levels of Certification
2. BtB Certification Requirements – Standard Track
3. BtB Certification Requirements – Alternate Track

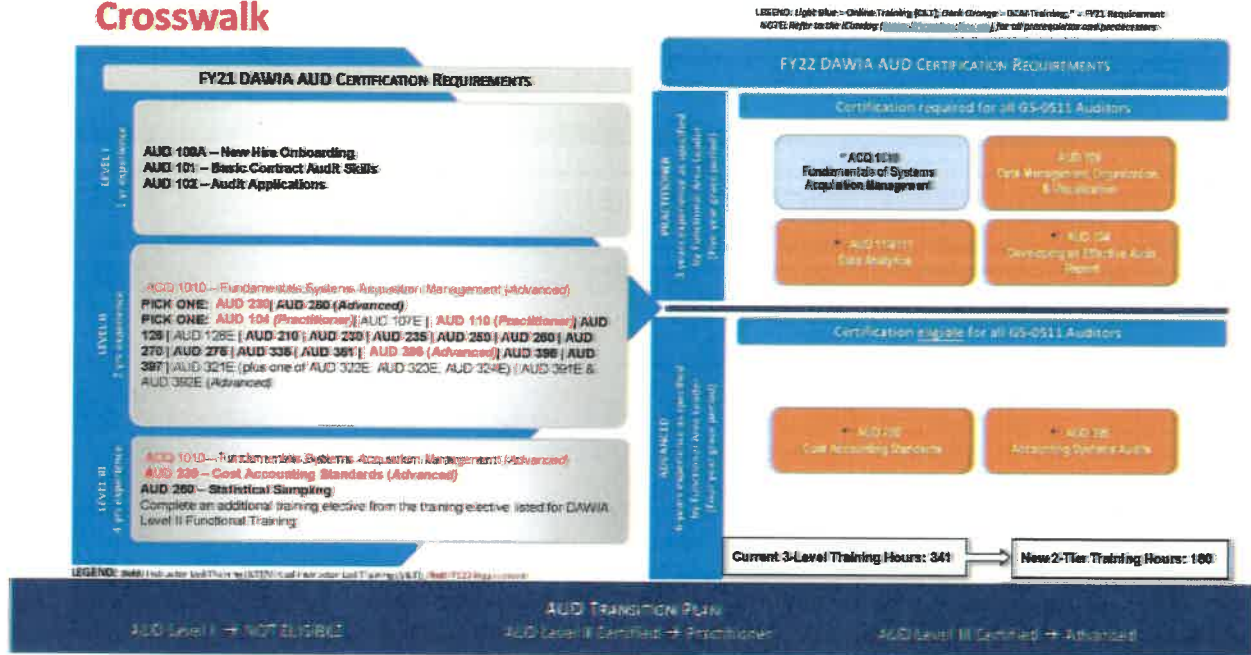
Distribution:

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ATTACHMENT 1

AUD Functional Area Crosswalk



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ATTACHMENT 2

BtB Certification Requirements – Standard Track

| DAWIA Levels | Acquisition Training | Functional Training <i>(Note 2)</i> | Experience | Grace Period |
|---------------------|--|--|---|--|
| Practitioner | ACQ1010 Fundamentals of Systems Acquisition Management <i>(Note 1)</i> | AUD109 Data Management AUD110/111 Data Analytics AUD104 Developing an Effective Audit Report | 3 years of contract audit experience | 5 years from date of hire |
| Advanced | ACQ1010 must be completed if not taken to achieve Practitioner certification | AUD230 Cost Accounting Standards AUD395 Audits of Accounting Systems | DAWIA Practitioner Certificate AND 6 years of audit experience with at least 3 years contract audit | 4 years from date of hire or promotion |

Notes:

1. ACQ101 is accepted if taken before June 2020.
2. Prior versions of the same course are accepted.

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ATTACHMENT 3

BtB Certification Requirements – Alternate Track
(For New-Hires Upon Review)

| DAWIA Level | Acquisition Training | Functional Training (Note 2) | Experience | Grace Period |
|---------------------|--|--|--|--|
| Practitioner | <p>ACQ1010 Fundamentals of Systems Acquisition Management</p> <p>(Note 1)</p> | <p>Data Analytics AUD110, Data Analytics or Course/Experience Equivalent or Data Analytics (DA) Web-Based Training AUD110E Intro to DA FMF8499 DA Level I FMF8500 DA Level II FMF7883 DA Level III and Audit Reports AUD104, Developing an Effective Audit Report or Course/Experience Equivalent</p> | 3 years of contract audit experience | 5 years from date of hire |
| Advanced | <p>ACQ1010 must be completed if not taken to achieve Practitioner certification</p> | <p>Cost Accounting Standards AUD230 Cost Accounting Standards or Course/Experience Equivalent or AUD236E, AUD237E, AUD238E, and AUD240E and Accounting Systems AUD395, Accounting Systems Audit or Course/Experience Equivalent and AUD294E</p> | <p>DAWIA Practitioner Certificate</p> <p>and</p> <p>6 years of audit experience with at least 3 years contract audit</p> | 4 years from date of hire or promotion |

Notes:

1. ACQ101 is accepted if taken before June 2020.
2. Prior versions of the same course are accepted.